

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity: GAJA ALTERNATIVE ASSET MANAGEMENT LIMITED

POST ISSUE

2. Scrip Code/Name of Scrip/Class of Security: EQUITY

25.08.2026

3. Share Holding Pattern Filed under: Reg. 31(1)(a)

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares?		NO*
b	Whether the Listed Entity has issued any Convertible Securities ?		NO*
c	Whether the Listed Entity has issued any Warrants ?		NO*
d	Whether Listed Entity has granted any ESOPs, which are outstanding?	YES*	
e	Whether the Listed Entity has any shares against which depository receipts are issued?		NO*
f	Whether the Listed Entity has any shares in locked-in?	YES*	
g	Whether any shares held by promoters are encumbered under "Pledged"?		NO*
h	Whether any shares held by promoters are encumbered under "Non-Disposal Undertaking"?		NO*
i	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?		NO*
j	Whether company has equity shares with differential voting rights?		NO*
k	Whether the listed entity has any significant beneficial owner?		NO*

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

	Introductory sub-table (I)(a) -
Name of the Company:	GAJA ALTERNATIVE ASSET MANAGEMENT LIMITED
Scrip Code, Name of the scrip, class of security :	EQUITY
As on :	25.08.2026

POST ISSUE

Table I - Summary Statement showing holding of specified securities of the listed entity

Category y	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	No. of Shares Underlying Outstanding Warrants	No. of Underlying Outstanding ESOP Granted	No. of Shares Underlying Outstanding convertible securities, No of Warrants and ESOP etc.	Total No of shares on fully diluted basis (including conversion of warrants, ESOP, Convertible Securities etc.)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged		Non-Disposal Undertaking		Other encumbrances, if any		Total Number of Shares encumbered		Number of equity shares held in dematerialized form	Sub-categorization of shares				
								No of Voting Rights									Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	Shareholding (No. of shares) under (XIX)			
								Class: Equity Shares	Class: NIL	Total																						
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	(VIII)	(IX)			(XA)	(XB)	(XC)	(X)= (XA+XB+XC)	(XI)= (VII)+(X)	(XII)= (VII)+(X)	(XIII)	(XIV)		(XV)		(XVI)		(XVII) = (XIV)+(XV)+(XVI)	(XVIII)	Sub- category (i)	Sub- category (ii)	Sub- category (iii)				
(A)	Promoter & Promoter Group		4	76470184	0	0	76470184	54.23	76470184	0	76470184	54.23	0	0	0	0	76470184	53.64	76470184	100.00	0	0.00	0	0.00	0	0.00	0	0.00	76470184	NA	NA	NA
(B)	Public	133422	64540046	0	0	0	64540046	45.77	64540046	0	64540046	45.77	0	0	1560700	1560700	66100746	46.36	39435517	61.10	NA	NA	NA	NA	NA	64540046	0	0	0	0	0	
(C)	Non Promoter- Non Public		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	NA	NA	NA	NA	NA	
(C1)	Shares underlying DRs		0	0	0	0	0	NA	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	NA	NA	NA	NA	NA	
(C2)	Shares held by Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	NA	NA	NA	NA	NA	
	Total	133426	141010230	0	0	0	141010230	100.00	141010230	0	141010230	100.00	0	0	1560700	1560700	142570930	100.00	115905701	82.20	0	0.00	0	0.00	0	0.00	0	0.00	141010230	0	0	0

Table II - Statement showing holding of specified securities by the Promoter and Promoter Group

	Category & Name of the Shareholders	Entity type Promoter or Promoter group (Promoter group would exclude promoters)	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities	No. of Shares Underlying Outstanding Warrants	No. of Underlying Outstanding ESOP	No. of Shares Underlying Outstanding convertible securities, No of Warrants and ESOP etc.	Total No of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged		Non-Disposal Undertaking		Other encumbrances, if any		Total Number of Shares encumbered		Number of equity shares held in dematerialized form	Sub-categorization of shares				
									No of Voting Rights			Total as a % of Total Voting rights							No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
									Class: Equity Shares	Class: NIL	Total																							
	(I)	(II)	(IV)	(V)	(VI)	(VII)	(VIII)= (V)+(VI)+(VII)	(IX)	(X)				(XIA)	(XIB)	(XIC)	(XII)= (XIA+XIB+XIC)	(XIII)= (VIII)+(XI)	(XIII)= (VIII)+(XI)	(XIV)		(XV)		(XVI)		(XVII)		(XVIII) = (XV+XVI+XVII)		(XIX)					
(1) Indian																																		
(a) Individuals/Hindu undivided Family			4	76470184	0	0	76470184	54.23	76470184	0	76470184	54.23	0	0	0	0	76470184	53.64	76470184	100.00	0	0.00	0	0.00	0	0.00	0	0.00	76470184	0	0	0		
Gopal Jain	Promoter			38000194	0	0	38000194	26.95	38000194	0	38000194	26.95	0	0	0	0	38000194	26.65	38000194	100.00	0	0.00	0	0.00	0	0.00	0	0.00	38000194	0	0	0		
Ranjit Shah	Promoter			19174025	0	0	19174025	13.60	19174025	0	19174025	13.60	0	0	0	0	19174025	13.45	19174025	100.00	0	0.00	0	0.00	0	0.00	0	0.00	19174025	0	0	0		
Imran Jafar	Promoter			9054120	0	0	9054120	6.42	9054120	0	9054120	6.42	0	0	0	0	9054120	6.35	9054120	100.00	0	0.00	0	0.00	0	0.00	0	0.00	9054120	0	0	0		
Sudesh Jain	Promoter Group			10241845	0	0	10241845	7.26	10241845	0	10241845	7.26	0	0	0	0	10241845	7.18	10241845	100.00	0	0.00	0	0.00	0	0.00	0	0.00	10241845	0	0	0		
(b) Central Government/ State Government(s)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
(c) Financial Institutions/ Banks			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
(d) Any Other (specify)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
Sub-Total (A)[1]			4	76470184	0	0	76470184	54.23	76470184	0	76470184	54.23	0	0	0	0	76470184	53.64	76470184	100.00	0	0.00	0	0.00	0	0.00	0	0.00	76470184	0	0	0		
(2) Foreign																																		
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
(b) Government			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
(c) Institutions			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
(d) Foreign Portfolio Investor			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
(e) Any Other (specify)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
Sub-Total (A)[2]			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0		
Total Shareholding of Promoter and Promoter Group (A)= (A)[1]+(A)[2]			4	76470184	0	0	76470184	54.23	76470184	0	76470184	54.23	0	0	0	0	76470184	53.64	76470184	100.00	0	0.00	0	0.00	0	0.00	0	0.00	76470184	0	0	0		
Note: 1) PAN would not be displayed on website of Stock Exchange(s). 2) The term "Encumbrance" has the same meaning as assigned under SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, as amended from time to time. 3) Details of promoter and promoter group with shareholding "NIL" can be accessed from here. List of Promoter Group who does not hold any Equity Share in Gaja Alternative Asset Management Limited is annexed as Annexure A																																		

Table III - Statement showing holding of specified securities by the Public shareholders

	Category & Name of the Shareholders		Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities	No. of Shares Underlying Outstanding Warrants	No. of Underlying Outstanding ESOP	No. of Shares Underlying Outstanding convertible securities, No of Warrants and ESOP etc.	Total No of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged		Non-Disposal Undertaking		Other encumbrances, if any		Total Number of Shares encumbered		Number of equity shares held in dematerialized form	Sub-categorization of shares		
									No of Voting Rights			Total as a % of Total Voting rights							No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
									Class: Equity Shares	Class: NIL	Total																					
	(I)		(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	(VIII)	(IX)				(XA)	(XB)	(XC)	(XI)= (XA+XB+XC)	(XII)= (VII)+(X)	(XIII)= (VII)+(X)	(XIII)		(XIV)		(XV)		(XVI)		(XVII) = (XIV+XV+XVI)		(XVIII)			
(1) Institutions (Domestic)																																
(a)	Mutual Funds		8	7349852	0	0	7349852	5.21	7349852	0	7349852	5.21	0	0	0	0	7349852	5.16	6718971	91.42	NA	NA	NA	NA	NA	7349852	0	0	0	0	0	
	INVERSCO INDIA FINANCIAL SERVICES FUND			2416622	0	0	2416622	1.71	2416622	0	2416622	1.71	0	0	0	0	2416622	1.70	1875066	77.59	NA	NA	NA	NA	NA	2416622	0	0	0	0	0	
	Nippon Life India Trustee Ltd- A/C Nippon India Banking & Financial Services Fund			1930894	0	0	1930894	1.37	1930894	0	1930894	1.37	0	0	0	0	1930894	1.35	1875066	97.11	NA	NA	NA	NA	NA	1930894	0	0	0	0	0	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	0	0	0	0	0	
(c)	Alternate Investment Funds		20	2484317	0	0	2484317	1.76	2484317	0	2484317	1.76	0	0	0	0	2484317	1.74	625053	25.16	NA	NA	NA	NA	NA	2484317	0	0	0	0	0	
(d)	Banks		7	1862924	0	0	1862924	1.32	1862924	0	1862924	1.32	0	0	0	0	1862924	1.31	0	0.00	NA	NA	NA	NA	NA	1862924	0	0	0	0	0	
(e)	Insurance Companies		6	7436258	0	0	7436258	5.27	7436258	0	7436258	5.27	0	0	0	0	7436258	5.22	6928401	93.17	NA	NA	NA	NA	NA	7436258	0	0	0	0	0	
	Hdfc Life Insurance Company Limited			4286627	0	0	4286627	3.04	4286627	0	4286627	3.04	0	0	0	0	4286627	3.01	4254070	99.24	NA	NA	NA	NA	NA	4286627	0	0	0	0	0	
	Sbi Life Insurance Co. Ltd			2394313	0	0	2394313	1.70	2394313	0	2394313	1.70	0	0	0	0	2394313	1.68	2361758	98.64	NA	NA	NA	NA	NA	2394313	0	0	0	0	0	
(f)	Provident / Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	0	0	0	0	0	
(g)	Asset reconstruction companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	0	0	0	0	0	
(h)	Sovereign Wealth Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	0	0	0	0	0	
(i)	NBFCs registered with RBI		7	2124203	0	0	2124203	1.51	2124203	0	2124203	1.51	0	0	0	0	2124203	1.49	937635	44.14	NA	NA	NA	NA	NA	2124203	0	0	0	0	0	0
(j)	Other Financial Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	0	0	0	0	0	
(k)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	0	0	0	0	0	
			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	0	0	0	0	0	0	
	Sub-Total (B)(1)		48	21257554	0	0	21257554	15.08	21257554	0	21257554	15.08	0	0	0	0	21257554	14.91	15210060	71.55	NA	NA	NA	NA	NA	21257554	0	0	0	0	0	0

[illegible]

(4) Non-institutions																											
(a)	Associate companies / Subsidiaries	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	0	0	0	0	
(b)	Directors and their relatives (excluding independent directors and nominee directors)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	0	0	0	0	
(c)	Key Managerial Personnel	1	2783738	0	0	2783738	1.97	2783738	0	2783738	1.97	0	0	15625	15625	2799363	1.96	2783738	100.00	NA	NA	NA	NA	2783738	0	0	0
	Abhinav Jain		2783738	0	0	2783738	1.97	2783738	0	2783738	1.97	0	0	0	0	2783738	1.95	2783738	100.00	NA	NA	NA	NA	2783738	0	0	0
	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA				
(d)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	0	0	0	
(e)	Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	0	0	0	
(f)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	133155	17174542	0	0	17174542	12.18	17174542	0	17174542	12.18	0	0	0	0	17174542	12.05	74751	0.44	NA	NA	NA	NA	17174542	0	0	0
(g)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	14	11574652	0	0	11574652	8.21	11574652	0	11574652	8.21	0	0	0	0	11574652	8.12	11574652	100.00	NA	NA	NA	NA	11574652	0	0	0
	Saurabh Sood		3601440	0	0	3601440	2.55	3601440	0	3601440	2.55	0	0	0	0	3601440	2.53	3601440	100.00	NA	NA	NA	NA	3601440	0	0	0
	Sushane Chopra		1768332	0	0	1768332	1.25	1768332	0	1768332	1.25	0	0	0	0	1768332	1.24	1768332	100.00	NA	NA	NA	NA	1768332	0	0	0
	Arindam Kumar Bhattacharya		1495598	0	0	1495598	1.06	1495598	0	1495598	1.06	0	0	0	0	1495598	1.05	1495598	100.00	NA	NA	NA	NA	1495598	0	0	0
(h)	Non Resident Indians (NRIs)	136	6449031	0	0	6449031	4.57	6449031	0	6449031	4.57	0	0	0	0	6449031	4.52	6418616	99.53	NA	NA	NA	NA	6449031	0	0	0
	Sanjay Hirral Patel		3830125	0	0	3830125	2.72	3830125	0	3830125	2.72	0	0	0	0	3830125	2.69	3830125	100.00	NA	NA	NA	NA	3830125	0	0	0
	Anshuman Goyal		2413700	0	0	2413700	1.71	2413700	0	2413700	1.71	0	0	0	0	2413700	1.69	2413700	100.00	NA	NA	NA	NA	2413700	0	0	0
(i)	Foreign Nationals	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	0	0	0	
(j)	Foreign Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	0	0	0	
(k)	Bodies Corporate	34	1080190	0	0	1080190	0.77	1080190	0	1080190	0.77	0	0	0	0	1080190	0.76	1039824	96.26	NA	NA	NA	NA	1080190	0	0	0
(l)	Any Other (specify)	14	267028	0	0	267028	0.19	267028	0	267028	0.19	0	0	1545075	1545075	1812103	1.27	250100	93.66	NA	NA	NA	NA	267028	0	0	0
1	LIP	13	16928	0	0	16928	0.01	16928	0	16928	0.01	0	0	0	0	16928	0.01	0	0.00	NA	NA	NA	NA	16928	0	0	0
2	UNCLAIMED SHARES	1	250100	0	0	250100	0.18	250100	0	250100	0.18	0	0	0	0	250100	0.18	250100	100.00	NA	NA	NA	NA	250100	0	0	0
3	ESOP Granted	0	0	0	0	0	0.00	0	0	0	0.00	0	0	1545075	1545075	1545075	1.08	0	0.00	NA	NA	NA	NA	0	0	0	
	Sub-Total (B)(4)	133354	39329181	0	0	39329181	27.89	39329181	0	39329181	27.89	0	0	1560700	1560700	40889881	28.68	22141681	56.30	NA	NA	NA	NA	39329181	0	0	0
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+(B)(4)	133422	64540046	0	0	64540046	45.77	64540046	0	64540046	45.77	0	0	1560700	1560700	66100746	46.36	39435517	61.10	NA	NA	NA	NA	64540046	0	0	0
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																											
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																											
Note:																											
(1) PAN would not be displayed on website of Stock Exchange(s).																											
(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.																											
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.																											
(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order prescribed in the above format. Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.																											
(5) Sub-categorization of shares under column no. (IV) will be based on shareholding (no. of shares) under the following sub-categories:																											
(i) Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.																											
(ii) Shareholders who have entered into shareholder agreement with the listed entity.																											
(iii) Shareholders acting as persons in concert with promoters.																											

Table IV - Statement showing holding of specified securities by the Non Promoter- Non Public shareholders

	Category & Name of the Shareholders		Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities	No. of Shares Underlying Outstanding Warrants	No. of Underlying Outstanding ESOP	No. of Shares Underlying Outstanding convertible securities, No of Warrants and ESOP etc.	Total No of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged		Non-Disposal Undertaking		Other encumbrances, if any		Total Number of Shares encumbered		Number of equity shares held in dematerialized form	Sub-categorization of shares		
									No of Voting Rights			Total as a % of Total Voting rights							No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)	Shareholding (No. of shares) under XIX
									Class: Equity Shares	Class: NIL	Total																					
	(I)		(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	(VIII)	(IX)				(XA)	(XB)	(XC)	(X)= (XA+XB+XC)	(XI)= (VII)+X	(XII)= (VII)+X	(XIII)		(XIV)		(XV)		(XVI)		(XVII) = (XIV+XV+XVI)		(XVIII)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(1)	Custodian/DR Holder - Name of DR Holders (If AXailable)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	NA	NA	0	0	0	0		
(a)																																
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	NA	NA	0	0	0	0		
(a)																																
	Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	NA	NA	NA	NA	NA	NA	0	0	0	0		
Note:																																
(1) PAN would not be displayed on website of Stock Exchange(s).																																
(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.																																
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.																																

Table V - Statement showing details of Significant Beneficial Owners (SBOs)

Sr. No	Details of the SBO (I)		Details of the registered owner (II)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)					Date of creation / acquisition of significant beneficial interest# (IX)
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares (%) (a)	Voting Rights (%) (b)	Rights on distributable dividend or any other distribution (%) (c)	Exercise of control (d)	Exercise of significant influence (e)	
	N/A									
	Note:									
	* In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column (III), multiple rows for the same SBO shall be inserted accordingly for each of the categories.									
	# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.									
	\$ PAN/ Passport number not to be disclosed on the website of the Stock Exchange(s).									

Table VI - Statement showing foreign ownership limits

		Board approved limits (%)	Limits utilized (%)
1	As on shareholding date	49%	2.81%
2	As on the end of previous 1st quarter	49%	1.57%
3	As on the end of previous 2nd quarter	49%	1.57%
4	As on the end of previous 3rd quarter	49%	1.57%
5	As on the end of previous 4th quarter	49%	1.57%

Annexure A

List of Promoter Group who does not hold any Equity Share in Gaja Alternative Asset Management Limited

PROMOTER GROUP

Natural Persons

Sr. No.	Name
1.	Mr. Bharat Jain
2.	Ms. Parina Garg
3.	Ms. Poonam Lohia
4.	Ms. Srishti Jain
5.	Ms. Ira Jain
6.	Mr. Ashok Vachani
7.	Mr. Ankush Vachani
8.	Mr. Sidharth Ranjit Shah
9.	Ms. Anuja Ranjit Shah
10.	Ms. Kokila Lalit Sheth
11.	Mr. Amit Sheth
12.	Ms. Bela Dalal
13.	Ms. Ila Shah
14.	Dr. Syed Abbas Jafar
15.	Ms. Ann Satwatara Jafar
16.	Ms. Indrani Doley Jafar
17.	Mr. Ayaan Jafar
18.	Ms. Aasrien Maria
19.	Ms. Saabrin Jafar
20.	Ms. Lalita Doley
21.	Mr. Boris Doley

PROMOTER GROUP

Entities

Sr. No.	Name
1.	RAMS Mercantile Private Limited
2.	Artisan & Gourmet LLP
3.	Shivani Mercantile Private Limited
4.	Garuda Asthetik Private Limited
5.	Shah Ranjit Jayant (HUF)